# Documentation for the Global Subnational Infant Mortality Rates, Version 2

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Center for International Earth Science Information Network (CIESIN), Columbia University

#### **Abstract**

This document outlines the basic methodology and data sets used to construct the Global Subnational Infant Mortality Rates (IMR), Version 2, along with use cases, limitations, and use constraints. The second version of SEDAC's Global Subnational Infant Mortality Rates data set compiles IMR data for 234 countries and territories, 143 of which include subnational units. The data are benchmarked to the year 2015 (Version 1 was benchmarked to the year 2000), and are drawn from national offices, Demographic and Health Surveys (DHS), Multiple Indicator Cluster Surveys (MICS), and other sources from 2006 to 2014. Boundary inputs are derived primarily from the Gridded Population of the World, Version 4 (GPWv4). National and subnational data are mapped to grid cells at a spatial resolution of 30 arc-seconds (~1 km) allowing for easy integration with demographic, environmental, and other spatial data.

#### **Data set citation:**

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We appreciate feedback regarding this data set, such as suggestions, discovery of errors, difficulties in using the data, and format preferences. Please contact:

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#### I. Introduction

The Global Subnational Infant Mortality Rates, Version 2 data set includes infant mortality rate data for the lowest administrative units available for each country as of June 2017. The infant mortality rate (IMR) for a region or country (defined as the number of children who die before their first birthday for every 1,000 live births) is of interest to a wide user community in interdisciplinary studies of health, development, sustainability, and the environment. Subnational IMR estimates derived from vital statistics and other sources are frequently used as a proxy for indicators such as poverty and wellbeing since alternative measures, such as Gross Domestic Product (GDP) or population living on less than one U.S. dollar per day, can be difficult to obtain at sub-national levels for many countries (Dasgupta, 1993). IMR also has a number of advantages over other metrics. First, its measurement, unlike small area estimates of poverty or income, is highly standardized. Second, when compared with average income metrics, IMRs are less likely to be influenced by the kind of skewed wealth distribution that might make otherwise poor areas appear well-off because a few high income people live there. Third, the data are available for 90% or more of the population in medium and low income countries (Balk et al., 2006).

This second version of SEDAC's Global Subnational Infant Mortality Rates data set compiles IMR data for 234 countries and territories, 143 of which include subnational units. The data are benchmarked to the year 2015, whereas version 1 of the data set was benchmarked to the year 2000 (CIESIN, 2005). Metadata are included describing uncertainties related to temporal and spatial scale adjustment, small island state (SIS) status, and conflict regions. Data are drawn from national offices, Demographic and Health Surveys (DHS), Multiple Indicator Cluster Surveys (MICS), and other sources from 2006 to 2014. Boundary inputs are derived primarily from the Gridded Population of the World, Version 4 (GPWv4). Assigning both national and

subnational data to grid cells at a spatial resolution of 30 arc-seconds (~1 km) allows for easy integration with demographic, environmental, and other spatial data.

## II. Data and Methodology

#### **Input data**

IMR data has been collected for 234 countries and territories, 91 include only national units, while 143 include subnational units, representing an improvement of 78 additional countries with subnational data compared to the first version. Distribution of countries by level of administrative unit used and total number of units for each level is displayed in Table 1.

Table 1

Administrative Level	Count of Highest Resolution SNU**	Sum of Total Number of SNUs**
0	91	91
1	113	1863
2	22	3513
3	7	1439
4	1	163
Grand Total	234	7069

<sup>\*\*</sup> SNU = Sub-National Unit

Source information by country, including the number of reporting units for each country, is shown in Table 2. Subnational data were drawn from national offices (82 countries), DHS (41 countries), MICS (17 countries), and other sources (3 countries). For the national office source, data were drawn from a national Human Development Report, National Statistical Office (NSO), or ministry of health. Subnational data dates from 2008 to 2014.

Spatial boundaries were obtained from the same IMR data source when possible, otherwise GPWv4 input boundaries were used (CIESIN, 2016a), shown in Table 3. Boundaries for countries and territories where no IMR data has been collected (7 countries) are represented with a "NoData" or no population code (-9999) where applicable. Water features were also obtained from GPWv4 (CIESIN, 2016b) and are represented using the code for no population or uninhabited areas (-7777).

Using the GPWv4 boundaries improves interoperability between IMR and the various GPW data such as population count, age, and sex variables (CIESIN, 2017).

Table 2: Countries with IMR Data

Continent	ISO3	Country	Highest Resolu -tion SNU**	Total Number of SNUs**	National IMR (Year)	National IMR*	Sub- national IMR (Year)	Sub- national IMR*	Method of Calculation	Source of Adjustment
Europe	ANR	Andorra	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Asia	AFG	Afghanistan	1	34	2011- 2015	DHS	2006- 2015	DHS	Acquired	UN IGME 2017
Africa	AGO	Angola	1	18	2011- 2015	DHS	2006- 2015/16	DHS	Acquired	UN IGME 2017
Europe	ALB	Albania	1	3	2014	DHS	1999- 2008	DHS	Acquired	UN IGME 2017
Asia	ARE	United Arab Emirates	0	1	2011	National	n/a	n/a	Acquired	UN IGME 2017
S. America	ARG	Argentina	2	525	2014	National	2014	National	Acquired	UN IGME 2017
Asia	ARM	Armenia	1	11	2014	National	2013	National	Acquired	UN IGME 2017
Oceania	ASM	American Samoa	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
N. America	ATG	Antigua and Barbuda	0	1	2007	National	n/a	n/a	Acquired	UN IGME 2017
Australia	AUS	Australia	1	9	2014	National	2014	National	Acquired	UN IGME 2017
Europe	AUT	Austria	1	9	2014	National	2013	National	Calculated by CIESIN	UN IGME 2017
Asia	AZE	Azerbaijan	2	74	2014	National	2013	National	Acquired	UN IGME 2017
Africa	BDI	Burundi	1	5	2006- 2011	DHS	2001- 2011	DHS	Acquired	UN IGME 2017
Europe	BEL	Belgium	2	11	2013	National	2013	National	Acquired (admin0 and admin1); Calculated by CIESIN (admin2)	UN IGME 2017
Africa	BEN	Benin	1	12	2010- 2014	MICS	2010- 2014	MICS	Acquired	UN IGME 2017
N. America	BES	Bonaire, Sint Eustatius, Saba	0	1	2009	РАНО	n/a	n/a	Acquired	n/a

Africa	BFA	Burkina Faso	1	13	2006- 2010	DHS	2001- 2010	DHS	Acquired	UN IGME 2017
Asia	BGD	Bangladesh	2	64	2014	National	admin1: 2014, admin2: 2012	National	Acquired	UN IGME 2017
Europe	BGR	Bulgaria	3	28	2014	National	2014	National	Acquired	UN IGME 2017
Asia	BHR	Bahrain	0	1	2013	National	n/a	n/a	Acquired	UN IGME 2017
N. America	BHS	Bahamas	0	1	2013	National	n/a	n/a	Acquired	UN IGME 2017
Europe	BIH	Bosnia and Herzegovina.	3	142	2014	National	2014	National	Acquired	UN IGME 2017
N. America	BLM	Saint- Barthelemy	0	1	2014	Other	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Europe	BLR	Belarus	3	155	2014	National	2014	National	Acquired	UN IGME 2017
N. America	BLZ	Belize	1	6	2011- 2015	MICS	2009	National	Acquired	UN IGME 2017
N. America	BMU	Bermuda	0	1	2014	National	n/a	n/a	Calculated by CIESIN	U.S. Census Bureau International Data Base
S. America	BOL	Bolivia	1	9	2008	National	2008	National	Acquired	UN IGME 2017
S. America	BRA	Brazil	3	557	2014	National	2014	National	Acquired	UN IGME 2017
N. America	BRB	Barbados	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Asia	BRN	Brunei Darussalam	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Asia	BTN	Bhutan	1	20	2012	National	2012 and 2005	National	Acquired	UN IGME 2017
Africa	BWA	Botswana	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Africa	CAF	Central African Republic	1	16	2010	MICS	2010	MICS	Acquired	UN IGME 2017
N. America	CAN	Canada	1	13	2012	National	2012	National	Acquired	UN IGME 2017
Europe	CHE	Switzerland	1	26	2014	National	2014	National	Acquired	UN IGME 2017
S. America	CHL	Chile	2	347	2013	National	2013	National	Acquired	UN IGME 2017
Asia	CHN	China	1	31	2012	National	11/2009- 10/2010	National	Calculated by CIESIN	UN IGME 2017
Africa	CIV	Côte d'Ivoire	1	11	2007- 2012	DHS	2002- 2012	DHS	Acquired	UN IGME 2017
Africa	CMR	Cameroon	1	12	2009- 2014	MICS	2005- 2014	MICS	Acquired	UN IGME 2017

Africa	COD	Democratic Republic of the Congo	1	11	2009- 2013	DHS	2003- 2013	DHS	Acquired	UN IGME 2017
Africa	COG	Congo (Brazzaville)	1	12	2010- 2014/201 5	MICS	2002- 2011	DHS	Acquired	UN IGME 2017
Oceania	СОК	Cook Islands	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
S. America	COL	Colombia	1	33	2005- 2010	DHS	2000- 2010	DHS	Acquired	UN IGME 2017
Africa	COM	Comoros	1	3	2008- 2012	DHS	2003- 2012	DHS	Acquired	UN IGME 2017
Africa	CPV	Cape Verde	1	22	2013	National	2011	National	Acquired (admin0); Calculated by CIESIN (admin1)	UN IGME 2017
N. America	CRI	Costa Rica	2	81	2014	National	2013	National	Acquired	UN IGME 2017
N. America	CUB	Cuba	1	16	2014	National	2014	National	Acquired	UN IGME 2017
N. America	CUW	Curacao	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
N. America	CYM	Cayman Islands	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Asia	CYP	Cyprus	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Europe	CZE	Czech Republic	2	77	2014	National	2014	National	Acquired	UN IGME 2017
Europe	DEU	Germany	1	16	2013	National	2013	National	Acquired	UN IGME 2017
Africa	DJI	Djibouti	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
N. America	DMA	Dominica	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Europe	DNK	Denmark	1	5	2014	National	2014	National	Calculated by CIESIN	UN IGME 2017
N. America	DOM	Dominican Republic	1	10	2009- 2014	MICS	2009- 2014	MICS	Acquired	UN IGME 2017
Africa	DZA	Algeria	1	48	2014	National	2013	National	Acquired	UN IGME 2017
S. America	ECU	Ecuador	2	24	2013	National	2012	National	Acquired	UN IGME 2017
Africa	EGY	Egypt	1	27	2014	National	2014	National	Acquired	UN IGME 2017
Africa	ERI	Eritrea	1	6	2010	National	2010	National	Acquired	UN IGME 2017
Africa	ESH	Western Sahara	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base

Africa	ESP	Spain	2	52	2014	National	2014	National	Acquired	UN IGME 2017
Europe	EST	Estonia	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Africa	ETH	Ethiopia	1	11	2006- 2011	DHS	2001- 2011	DHS	Acquired	UN IGME 2017
Europe	FIN	Finland	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Oceania	FJI	Fiji	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Europe	FRA	France	2	96	2014	National	2014	National	Acquired	UN IGME 2017
Europe	FRO	Faroe Islands	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Oceania	FSM	Micronesia (Fed. States of)	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Africa	GAB	Gabon	1	10	2007- 2012	DHS	2002- 2012	DHS	Acquired	UN IGME 2017
Europe	GBR	United Kingdom of Great Britain and Northern Ireland	3	405	2014	National	2014	National	Acquired	UN IGME 2017
Asia	GEO	Georgia	1	12	2014	National	2014	National	Acquired	UN IGME 2017
Europe	GGY	Guernsey	0	1	2010- 2012	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Africa	GHA	Ghana	1	10	2010- 2014	DHS	2005- 2014	DHS	Acquired	UN IGME 2017
Europe	GIB	Gibraltar	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Africa	GIN	Guinea	1	8	2007- 2012	DHS	2002- 2012	DHS	Acquired	UN IGME 2017
N. America	GLP	Guadeloupe	0	1	2014	National	n/a	n/a	Acquired	n/a
Africa	GMB	Gambia	1	8	2009- 2013	DHS	2003- 2013	DHS	Acquired	UN IGME 2017
Africa	GNB	Guinea-Bissau	1	9	2010- 2014	MICS	2010- 2014	MICS	Acquired	UN IGME 2017
Africa	GNQ	Equatorial Guinea	1	2	2007- 2011	DHS	2001- 2011	DHS	Acquired	UN IGME 2017
Asia	GRC	Greece	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
N. America	GRD	Grenada	0	1	2010	National	n/a	n/a	Acquired	UN IGME 2017
N. America	GRL	Greenland	0	1	2013	National	n/a	n/a	Acquired	U.S. Census Bureau

										International Data Base
N. America	GTM	Guatemala	1	22	2014	National	2014	National	Acquired	UN IGME 2017
S. America	GUF	French Guiana	0	1	2014	National	n/a	n/a	Acquired	n/a
Oceania	GUM	Guam	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
S. America	GUY	Guyana	0	1	2010- 2014	MICS	n/a	n/a	Acquired	UN IGME 2017
Asia	HKG	China Hong Kong Special Administrativ e Region (SAR)	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
N. America	HND	Honduras	1	18	2014	National	2002- 2012	DHS	Acquired	UN IGME 2017
Europe	HRV	Republic of Croatia	1	21	2014	National	2014	National	Acquired	UN IGME 2017
N. America	НТІ	Haiti	1	11	2007- 2012	DHS	2002- 2012	DHS	Acquired	UN IGME 2017
Europe	HUN	Hungary	2	20	2014	National	2014	National	Acquired	UN IGME 2017
Asia	IDN	Indonesia	1	33	2008- 2012	DHS	2003- 2012	DHS	Acquired	UN IGME 2017
Europe	IMN	Isle of Man	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Asia	IND	India	1	37	2013	National	2013	National	Acquired	UN IGME 2017
Europe	IRL	Ireland	2	34	2014	National	2014	National	Acquired	UN IGME 2017
Asia	IRN	Iran	1	26	2014	UN	1996	UN	Acquired	UN IGME 2017
Asia	IRQ	Iraq	1	18	2014	National	2006- 2011	MICS	Acquired	UN IGME 2017
Europe	ISL	Iceland	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Africa	ISR	Israel	2	16	2014	National	2014	National	Acquired	UN IGME 2017
Europe	ITA	Italy	3	110	2013	National	2013	National	Acquired	UN IGME 2017
N. America	JAM	Jamaica	0	1	2001- 2011	National	n/a	n/a	Acquired	UN IGME 2017
Europe	JEY	Jersey	0	1	2010- 2012	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Asia	JOR	Jordan	1	12	2008- 2012	DHS	2003- 2012	DHS	Acquired	UN IGME 2017

Asia	JPN	Japan	2	1714	2014	National	2014	National	Acquired	UN IGME 2017
Asia	KAZ	Kazakhstan	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Africa	KEN	Kenya	1	8	2010- 2014	DHS	2005- 2014	DHS	Acquired	UN IGME 2017
Asia	KGZ	Kyrgyzstan	1	9	2014	National	2014	National	Acquired	UN IGME 2017
Asia	КНМ	Cambodia	1	19	2010- 2014	DHS	2003- 2014	DHS	Acquired	UN IGME 2017
Oceania	KIR	Kiribati	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
N. America	KNA	Saint Kitts and Nevis	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Asia	KOR	Republic of Korea	1	17	2014	National	2014	National	Acquired	UN IGME 2017
Europe	KOS	Kosovo	1	37	2014	National	2014	National	Calculated by CIESIN	U.S. Census Bureau International Data Base
Asia	KWT	Kuwait	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Asia	LAO	Lao People's Democratic Republic	1	17	2012	MICS/DHS	2007- 2011	MICS/DHS	Acquired	UN IGME 2017
Asia	LBN	Lebanon	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Africa	LBR	Liberia	1	5	2008- 2012	DHS	2003- 2012	DHS	Acquired	UN IGME 2017
Africa	LBY	Libyan Arab Jamahiriya	1	22	2010	National	2010	National	Calculated by CIESIN	UN IGME 2017
N. America	LCA	Saint Lucia	0	1	2012	National	n/a	n/a	Acquired	UN IGME 2017
Europe	LIE	Liechtenstein	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Asia	LKA	Sri Lanka	1	25	2010	National	2010	National	Acquired	UN IGME 2017
Africa	LSO	Lesotho	1	10	2009- 2014	DHS	2004- 2014	DHS	Acquired	UN IGME 2017
Europe	LTU	Lithuania	1	10	2014	National	2014	National	Acquired	UN IGME 2017
Europe	LUX	Luxemburg	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Europe	LVA	Latvia	1	6	2014	National	2014	National	Acquired	UN IGME 2017
Asia	MAC	China Macao Special Administrativ e Region (SAR)	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base

N. America	MAF	Saint Martin	0	1	2014	Other	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Africa	MAR	Morocco	0	1	2011	National	n/a	n/a	Acquired	UN IGME 2017
Europe	МСО	Monaco	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Europe	MDA	Republic of Moldova	2	35	2014	National	2014	National	Acquired	UN IGME 2017
Africa	MDG	Madagascar	1	22	2012- 2013	National	1998-99 to 2008- 09	DHS	Acquired	UN IGME 2017
Asia	MDV	Maldives	1	3	2014	National	2014	National	Acquired	UN IGME 2017
N. America	MEX	Mexico	1	32	2014	National	2014	National	Calculated by CIESIN	UN IGME 2017
Oceania	MHL	Marshall Islands	0	1	2011	National	n/a	n/a	Acquired	UN IGME 2017
Europe	MKD	Republic of Macedonia	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Africa	MLI	Mali	1	9	2008- 2013	DHS	2004- 2013	DHS	Acquired	UN IGME 2017
Europe	MLT	Malta	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Asia	MMR	Myanmar	1	15	2010 to 2015- 2016	National	2005 to 2015- 2016	National	Acquired	UN IGME 2017
Europe	MNE	Montenegro	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Asia	MNG	Mongolia	2	22	2014	National	2014	National	Acquired	UN IGME 2017
Oceania	MNP	Northern Mariana Islands	0	1	2002	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Africa	MOZ	Mozambique	1	11	2006- 2011	DHS	2001- 2011	DHS	Acquired	UN IGME 2017
Africa	MRT	Mauritania	1	12	2007- 2011	MICS	2007- 2011	MICS	Acquired	UN IGME 2017
N. America	MSR	Montserrat	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
N. America	MTQ	Martinique	0	1	2014	National	n/a	n/a	Acquired	n/a
Africa	MUS	Mauritius (excluding Agalega and St Brandon)	1	10	2014	National	2014	National	Acquired	UN IGME 2017
Africa	MWI	Malawi	2	30	2014	National	2009-	MICS	Acquired	UN IGME 2017
					-				-	

							2014 (admin1) and 2004- 2014 (admin2)			
Asia	MYS	Malaysia	1	16	2012	National	2012	National	Acquired	UN IGME 2017
Africa	MYT	Mayotte	0	1	2014	National	n/a	n/a	Acquired	n/a
Africa	NAM	Namibia	1	13	2008- 2012	DHS	2003- 2012	DHS	Acquired	UN IGME 2017
Oceania	NCL	New Caledonia	0	1	2012	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Africa	NER	Niger	1	8	2008- 2012	DHS	2003- 2012	DHS	Acquired	UN IGME 2017
Africa	NGA	Nigeria	1	6	2009- 2013	DHS	2004- 2013	DHS	Acquired	UN IGME 2017
N. America	NIC	Nicaragua	1	17	2011- 2012	National	2011- 2012	National	Acquired	UN IGME 2017
Oceania	NIU	Niue	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Europe	NLD	Netherlands	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Europe	NOR	Norway	1	19	2014	National	2006- 2010	National	Acquired	UN IGME 2017
Asia	NPL	Nepal	1	15	2009 - 2014	MICS	2009 - 2014	MICS	Acquired	UN IGME 2017
Oceania	NRU	Nauru	0	1	2011- 2013	National	n/a	n/a	Acquired	UN IGME 2017
Oceania	NZL	New Zealand	1	20	2014	National	2012	National	Acquired	UN IGME 2017
Asia	OMN	Oman	1	11	2014	National	2014	National	Acquired	UN IGME 2017
Asia	PAK	Pakistan	1	6	2008- 2012	DHS	2003- 2012	DHS	Acquired	UN IGME 2017
N. America	PAN	Panama	1	13	2014	National	2014	National	Acquired	UN IGME 2017
S. America	PER	Peru	1	25	2013	National	2011	National	Acquired	UN IGME 2017
Asia	PHL	Philippines	1	17	2009- 2013	DHS	2004- 2013	DHS	Acquired	UN IGME 2017
Oceania	PLW	Palau	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Asia	PNG	Papua New Guinea	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Europe	POL	Poland	1	16	2014	National	2014	National	Acquired	UN IGME 2017
N. America	PRI	Puerto Rico	1	78	2014	National	2014	National	Acquired	U.S. Census Bureau

										International Data Base
Asia	PRK	Democratic People's Republic of Korea	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Africa	PRT	Portugal	1	7	2014	National	2014	National	Acquired	UN IGME 2017
S. America	PRY	Paraguay	1	18	2014	National	2014	National	Acquired	UN IGME 2017
Asia	PSE	Occupied Palestinian Territory	1	2	2010- 2014	MICS	2010- 2014	MICS	Acquired	UN IGME 2017
Oceania	PYF	French Polynesia	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Asia	QAT	Qatar	1	7	2013	National	2013	National	Acquired	UN IGME 2017
Africa	REU	Réunion	0	1	2014	National	n/a	n/a	Acquired	n/a
Europe	ROU	Romania	3	42	2012	National	2012	National	Acquired	UN IGME 2017
Europe	RUS	Russian Federation	1	80	2014	National	2013	National	Acquired	UN IGME 2017
Africa	RWA	Rwanda	1	5	2010- 2014	DHS	2005- 2014	DHS	Acquired	UN IGME 2017
Asia	SAU	Saudi Arabia	0	1	2013	National	n/a	n/a	Acquired	UN IGME 2017
Africa	SDN	Sudan	1	18	2010- 2014	MICS	2010- 2014	MICS	Acquired	UN IGME 2017
Africa	SEN	Senegal	1	14	2013	National	2013	National	Acquired	UN IGME 2017
Asia	SGP	Singapore	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Africa	SHN	Saint Helena	0	1	2013	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Oceania	SLB	Solomon Islands	1	10	2009	National	2009	National	Acquired	UN IGME 2017
Africa	SLE	Sierra Leone	2	14	2009- 2013	DHS	2004- 2013	DHS	Acquired	UN IGME 2017
N. America	SLV	El Salvador	1	14	2010- 2014	MICS	2010- 2014	MICS	Acquired	UN IGME 2017
Europe	SMR	San Marino	0	1	2010- 2014	National	n/a	n/a	Acquired	UN IGME 2017
Africa	SOM	Somalia	1	18	2001- 2006	MICS	2006- 2011	MICS	Acquired	UN IGME 2017
N. America	SPM	Saint Pierre and Miquelon	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base

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Europe	SRB	Republic of Serbia	4	163	2014	National	2014	National	Acquired	UN IGME 2017
Africa	SSD	Republic of South Sudan	1	10	2006	National	2005- 2010	National	Acquired	UN IGME 2017
Africa	STP	Sao Tome and Principe	1	4	2011- 2014	MICS	2005- 2014	MICS	Acquired	UN IGME 2017
S. America	SUR	Suriname	0	1	2012	National	n/a	n/a	Acquired	UN IGME 2017
Europe	SVK	Slovakia	2	85	2014	National	2014	National	Acquired	UN IGME 2017
Europe	SVN	Slovenia	1	12	2014	National	2014	National	Acquired	UN IGME 2017
Europe	SWE	Sweden	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Africa	SWZ	Swaziland	1	4	2009- 2014	MICS	2009- 2014	MICS	Acquired	UN IGME 2017
N. America	SXM	Sint Maaerten	0	1	2013	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Africa	SYC	Seychelles	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Asia	SYR	Syrian Arab Republic	1	14	2014	UN	2008	UN	Acquired	UN IGME 2017
N. America	TCA	Turks and Caicos Islands	0	1	2013	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Africa	TCD	Chad	1	21	2010- 2014	DHS	2005- 2014	DHS	Acquired	UN IGME 2017
Africa	TGO	Togo	1	6	2009- 2013	DHS	2004- 2013	DHS	Acquired	UN IGME 2017
Asia	THA	Thailand	2	76	2012	National	2011	UN	Acquired	UN IGME 2017
Asia	TJK	Tajikistan	1	5	2008- 2012	DHS	2003- 2012	DHS	Acquired	UN IGME 2017
Asia	TKM	Turkmenistan	0	1	2012	National	n/a	n/a	Acquired	UN IGME 2017
Asia	TLS	Timor-Leste	1	13	2005- 2009	DHS	2000- 2009	DHS	Acquired	UN IGME 2017
Oceania	TON	Tonga	0	1	2011	National	n/a	n/a	Acquired	UN IGME 2017
N. America	TTO	Trinidad and Tobago	0	1	2008	National	n/a	n/a	Acquired	UN IGME 2017
Africa	TUN	Tunisia	0	1	2014	National	n/a	n/a	Acquired	UN IGME 2017
Asia	TUR	Turkey	1	81	2014	National	2014	National	Acquired	UN IGME 2017
Oceania	TUV	Tuvalu	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
Asia	TWN	Taiwan	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base

Africa	TZA	United Republic of Tanzania	1	9	2010/11- 2015/16	DHS	2005/06- 2015/16	DHS	Acquired	UN IGME 2017
Africa	UGA	Uganda	1	10	2012- 2016	DHS	2002- 2011	DHS	Acquired	UN IGME 2017
Europe	UKR	Ukraine	1	27	2014	National	2014	National	Acquired	UN IGME 2017
S. America	URY	Uruguay	1	19	2014	National	2014	National	Acquired	UN IGME 2017
N. America	USA	United States of America	1	51	2014	National	2014	National	Acquired	UN IGME 2017
Asia	UZB	Uzbekistan	0	1	2014	UN	n/a	n/a	Acquired	UN IGME 2017
N. America	VCT	Saint Vincent and the Grenadines	0	1	2013	National	n/a	n/a	Acquired	UN IGME 2017
S. America	VEN	Venezuela	1	25	2012	National	2012	National	Acquired	UN IGME 2017
N. America	VGB	British Virgin Islands	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
N. America	VIR	United States Virgin Islands	0	1	2014	National	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Asia	VNM	Viet Nam	2	63	2014	National	2014	National	Acquired	UN IGME 2017
Oceania	VUT	Vanuatu	0	1	2013	DHS	n/a	n/a	Acquired	UN IGME 2017
Oceania	WLF	Wallis and Futuna Islands	0	1	2014	CIA	n/a	n/a	Acquired	U.S. Census Bureau International Data Base
Oceania	WSM	Samoa	1	4	2014	National	2014	National	Acquired	UN IGME 2017
Asia	YEM	Yemen	1	21	2009- 2013	DHS	2004- 2013	DHS	Acquired	UN IGME 2017
Africa	ZAF	South Africa	2	53	2011	National	2011	National	Acquired	UN IGME 2017
Africa	ZMB	Zambia	1	10	2009- 2013	DHS	2004- 2013	DHS	Acquired	UN IGME 2017
Africa	ZWE	Zimbabwe	1	10	2010- 2014	MICS	2010- 2014	MICS	Acquired	UN IGME 2017

<sup>\*</sup> DHS = Demographic Health Survey; MICS = Multiple Indicator Cluster Surveys; UN = Various United Nations programs; CIA = Central Intelligence Agency; PAHO = Pan American Health Organization; National = various sources from national Human Development Reports, national statistical offices, or ministries of health

<sup>\*\*</sup> SNU = Sub-National Unit

Table 3

<b>Boundary Source</b>	Number of Countries
GPWv4	192*
DHS	42
GADM	6
IGBE	1
Grand Total	241

\*Includes 7 countries and territories that do not have IMR data (Åland Islands, British Indian Ocean Territory, Falkland Islands (Malvinas), French Southern Territories, Heard and McDonald Islands, South Georgia and the South Sandwich Islands, Svalbard and Jan Mayen Islands)

Data acquisition included collecting IMR, live births, and infant deaths for the most recent year available. The highest administrative level (subnational unit) available with an IMR as of June 2017 is included in the gridded data product.

#### Methods

#### **IMR Grid**

The infant mortality rates were either "Acquired" or "Calculated by CIESIN". "Acquired" means that IMRs were collected from vital registration data, surveys, models, or other estimates. "Calculated by CIESIN" indicates that the rates were estimated using reported live births and infant deaths data. IMRs were calculated by taking the number of infant deaths, dividing them by the number of live births, and multiplying the result by 1,000.

Out of all *national* IMRs in the database, 90 countries use "Acquired" data. Only 1 (Bermuda) uses estimates "Calculated by CIESIN". Out of all *subnational* IMRs, 135 countries use "Acquired" data, and eight use estimations "Calculated by CIESIN" (Table 2). The highest available subnational resolution of IMR was included in the final gridded product.

The IMR input data comes from different sources and spans from 2006 to 2014 varying by country and subnational unit. Because of this, rates were adjusted for year and source to be consistent with the United Nations (UN) Inter-agency Group for Child Mortality Estimation (UN IGME, 2017) national estimates for the year 2015. As this source does not include all the countries included in the IMR 2015 data set, adjustment values for countries not included in UN IGME 2017 report were imputed using data from the U.S. Census Bureau International Database<sup>1</sup>. Five countries were not included in either source, therefore were adjusted only for year. Coded values are included for those data not imputed (0), imputed (1) and year adjustment only (2).

<sup>&</sup>lt;sup>1</sup> U.S. Census Bureau. International Programs. International Data Base. Revised: December 22, 2017. Version: Data:17.0810 Code:12.0321

The adjustment approach follows the method used in the first version of the infant mortality data set (Storeygard et al., 2008:216), as follows:

The IMR value  $r_{c,y,s,x}$  for country c, year y from source s, at scale x (0 = national; 1 = subnational) were scaled to y = 2015 national rates from UN IGME (denoted s = 0), where UN IGME includes observed and imputed values, while values for 5 countries represent only adjustment for year y

Given the formula above, the adjustment cannot be applied to the Cook Islands, which has a reported IMR value of 0 and an adjustment rate of 6.9. The reported national IMR of 0 is used un-adjusted.

Topology was applied such that there are no gaps or overlaps between country or subnational unit boundaries. The data were then gridded to a spatial resolution of 30 arc-seconds, which is about 1 kilometer at the equator. The final gridded product represents the estimated IMR at the highest subnational resolution available for the year 2015.

Coded values are included for "NoData" (-9999), and for no population or uninhabited areas including bodies of water (-7777).

#### **Births and Deaths Grids**

Estimates of births and infant deaths are necessary to scale infant mortality rates to alternative geographies (Storeygard et al. 2008:217). Births were calculated using data from GPWv4 Basic Demographic Characteristics, Revision 10. First, rectangular distribution<sup>2</sup> was applied to the grid of 0-4 year old population counts to obtain crude estimates of population age 0 in each grid cell, which were converted to proportions of the total population in 2010.

Second, these proportions were applied to the 2015 total population to obtain crude estimates of population age 0 in 2015 (under the assumption that the structure of the population remained the same between 2010 and 2015). Finally, the 2015 infant mortality rates were applied to the age 0 population grid to obtain crude estimates of infant deaths in 2015.

# **III.** Data Set Description(s)

#### **Data set description:**

The Global Subnational Infant Mortality Rates, Version 2 consists of estimates of infant mortality rates for the year 2015. The data product is a grid (raster data) of IMR rates at 30-arc

<sup>&</sup>lt;sup>2</sup> "Rectangular distribution assumes that all the smaller groups (e.g., 1-year age group) have identical shares of the larger group (e.g., 5-year age group). The numbers in each of the smaller groups can be computed by dividing the population of the larger group by the number of subdivisions desired" (Smith, S., J. Tayman and D. Swanson. 2013. A Practitioner's Guide to State and Local Population Projections. New York, Springer. Pp. 280)

seconds (~1 km) resolution. The nominal resolution of the vector input layers varies from country to country.

#### Data set web page:

#### SEDAC URL:

http://sedac.ciesin.columbia.edu/data/set/povmap-global-subnational-infant-mortality-rates-v2

Permanent URL: https://doi.org/10.7927/H4PN93JJ

#### **Data set format:**

The data are available as global grids in GeoTIFF format, vector feature class in an Esri Geodatabase (.GDB) and Microsoft Excel (XLSX) format as downloadable zip files. The downloadables are compressed zip files:

- Global Sub-national Gridded IMR 2015; 30 arc-second (~1 km) resolution in GeoTIFF (49MB zip file)
- Global Sub-national Gridded Births 2015; 30 arc-second (~1km) resolution in GeoTIFF (195MB zip file)
- Global Sub-national Gridded Infant Deaths 2015; 30 arc-second (~1km) resolution in GeoTIFF (349MB zip file)
- Global Administrative Level of the IMR 2015 Grid; 30 arc-second (~1km) resolution in GeoTIFF (7.3MB zip file)
- Global IMR 2015 Data with Administrative Level 0 and Level 1 Information; Vector data as a features class in Esri Geodatabase (GDB) (941MB zip file)
- Global Sub-national IMR 2015 Data with Sources and Data Dictionary; Tabular data in XLSX format (1.7MB zip file)

packaged with PDF documentation.

#### **Data set downloads:**

povmap-global-subnational-infant-mortality-rates-v2-geotiff.zip povmap-global-subnational-infant-mortality-rates-v2-births-geotiff.zip povmap-global-subnational-infant-mortality-rates-v2-deaths-geotiff.zip povmap-global-subnational-infant-mortality-rates-v2-adm-used-geotiff.zip povmap-global-subnational-infant-mortality-rates-v2-adm1-gdb.zip povmap-global-subnational-infant-mortality-rates-v2-xlsx.zip

## IV. How To Use the Data

The raster data in GeoTIFF format and the vector data in geodatabase format, can be used directly in mapping and geospatial analysis.

## V. Potential Use Cases

The Global Subnational Infant Mortality Rates, Version 2 has many potential applications not only for the study of the geographic distribution of infant mortality within countries, but also as a proxy for the analysis of poverty and living conditions in general, in conjunction with environmental or other geographical factors. It could also be a valuable input into other new or existing data sets. As Storeygard et al. (2008:209) notes, "While national data show a similar pattern for infant deaths, with half concentrated in the same six countries, spatially explicit subnational data would permit further quantification of spatial concentration and identify geographical 'hotspots' or clusters of mortality, some of which would be expected to cut across national boundaries".

Version 1 has a large number of citations demonstrating applications of the data; search the SEDAC citations database (http://sedac.ciesin.columbia.edu/citations-db) by filtering on SEDAC Data Collections "povmap".

#### VI. Limitations

The Global Subnational Infant Mortality Rates, Version 2 data set has a few limitations. The quality of IMR data varies globally in terms of defining, reporting live births and infant deaths, as well as methods of calculating rates. Sampling errors, data omission, and misreporting are common examples of how the input data may be skewed (Storeygard et al., 2008).

Some sources are unclear as to whether births are reporting stillborn and live births or live births alone. Also, methods of calculation of the infant mortality rates differs between sources, and from the approach followed in those "Calculated by CIESIN" where the rates were not available directly from the source.

DHS and MICS survey-based data may have sampling errors and bias related to the survey design, sample size and temporal range, as both report IMRs for five (national) or ten (subnational) year periods.

# VII. Acknowledgments

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## VIII. Disclaimer

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## X. Recommended Citation(s)

#### Data set(s):

Center for International Earth Science Information Network (CIESIN), Columbia University. 2018. Global Subnational Infant Mortality Rates, Version 2. Palisades, NY: NASA Socioeconomic Data and Applications Center (SEDAC). <a href="https://doi.org/10.7927/H4PN93JJ">https://doi.org/10.7927/H4PN93JJ</a>. Accessed DAY MONTH YEAR.

#### XI. Source Code

No source code is available for this data set.

#### XII. References

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Characteristics, Revision 10. Palisades, NY: NASA Socioeconomic Data and Applications Center (SEDAC). <a href="https://doi.org/10.7927/H45H7D7F">https://doi.org/10.7927/H45H7D7F</a>.

Center for International Earth Science Information Network (CIESIN), Columbia University. 2016a. Gridded Population of the World, Version 4 (GPWv4): Population Count. Palisades, NY: NASA Socioeconomic Data and Applications Center (SEDAC). <a href="https://doi.org/10.7927/H4X63JVC">https://doi.org/10.7927/H4X63JVC</a>.

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Storeygard, A., D. Balk, M. Levy, and G. Deane. 2008. The Global Distribution of Infant Mortality: A Subnational Spatial View. *Population, Space and Place* 14:209–229. <a href="https://doi.org/10.1002/psp.484">https://doi.org/10.1002/psp.484</a>.

UN IGME. 2017. Levels and Trends in Child Mortality Report 2017. Estimates Developed by the UN Interagency Group for Child Mortality Estimation. Accessed 1 August 2018. https://www.unicef.org/publications/files/Child Mortality Report 2017.pdf.

U.S. Census Bureau. International Programs. International Data Base. Revised: December 22, 2017. Version: Data:17.0810 Code:12.0321.

## XIII. Documentation Copyright and License

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# **Appendix 1. Data Revision History**

No revisions have been made to this data set, but an earlier version is available for the year 2000 (CIESIN, 2005).

## **Appendix 2. Contributing Authors & Documentation Revision History**

Revision Date	Contributors	Revisions
December 14,	D. Mendeloff,	This document is the 1 <sup>st</sup> instance of documentation.
2018	A. de Sherbinin,	
	S. Adamo	

# **Appendix 3. Mali: Data Imputation for Northern Regions 2012-2013**

The regions of Timbuktu, Kidal, Gao and part of Mopti were not included in the 2012-13 DHS due to the ongoing conflict. The steps followed to complete subnational IMR for Mali are described below:

1) Two additional Malian DHS reports were used: DHS 2006 and DHS 2001. The reason for including the 2001 report is that IMR for Kidal was not reported in 2006 because of the small numbers. The table below lists the IMR by region in the three DHS reports:

Table 4

Region	2001	2006	2012/2013	Difference 2001-2006	% Change 2001-2006
Bamako	44.1	66	42		
Gao	141.8	68	40	-73.8	-52.05
Kayes	124.6	105	60		
Kidal	141.8	97	57		-31.59
Koulikoro	120.8	114	61		

Mopti	156.3	108	62		
Ségou	118.6	131	64		
Sikasso	126.4	132	76		
Timbuktu	141.8	126	73	-15.8	-11.14

- 2) Mopti: three *cercles* (Douentza, Ténenkou and Youwarou) were not included in the 2012/13 DHS. The decision was to apply the IMR for the rest of the region to these three *cercles*.
- 3) Timbuktu and Gao: the 2012/13 IMR was calculated by applying the percent change in national IMR between the 2006 and 2012/13 (as reported in the DHS reports for the country) to the IMR in 2006.

Table 5

DHS 2006	IMR 2001-2006	96
DHS 2012/2013	IMR 4-years	56
	Difference	-40
	Percent change	-41.6667%

4) Kidal: The starting point was to calculate the IMR in 2006. In the 2001 DHS, Kidal, Timbuktu and Gao were reported together. Because of this, Kidal 2006 was calculated applying the average % change of Tombouctou and Gao to Kidal's 2001 IMR (see Table 3). The 2012/13 IMR was calculated using the procedure described above for Gao and Timbuktu.